



**HUMBOLDT COUNTY ASSOCIATION OF GOVERNMENTS**  
**Regional Transportation Planning Agency**  
**Humboldt County Local Transportation Authority**  
**Service Authority for Freeway Emergencies**  
611 I Street, Suite B  
Eureka, CA 95501  
(707) 444-8208  
www.hcaog.net

**AGENDA ITEM 5b**  
SCC Meeting  
November 20, 2017

---

DATE: November 6, 2017  
TO: Service Coordination Committee (SCC)  
FROM: Debra Dees, Associate Planner  
SUBJECT: **Federal Transit Administration (FTA) Transit Asset Management (TAM)**

### **STAFF REPORT**

#### **Contents:**

- Staff's Recommended Action
- Staff Summary
- TAM Correspondence dated January 11, 2017
- TAM Correspondence dated October 26, 2016

#### **Staff's Recommended Action:**

1. Introduce the item as an action item;
2. Allow staff to present the item;
3. Receive public comment;
4. Determine if transit agencies will develop individual TAM Plans, or identify a Group Sponsor for development of a single group TAM plan for the region."

#### **Staff Summary:**

TAM is a new FTA regulation that applies to all transit providers that are recipients or sub-recipients of federal financial assistance under 49 U.S.C. Chapter 53 and that own, operate, or manage transit capital assets used in the provision of public transportation. TAM is a business model that prioritizes funding based on the condition of transit assets in order to achieve or maintain transit networks in a state of good repair (SGR). TAM information is available on Caltrans website at <http://www.dot.ca.gov/drmt/fedtsam.html>

Caltrans identifies the following steps to prepare a TAM Plan:

Step #1 - Identify an Accountable Executive for each recipient or sub-recipient agency.

Step #2 - Transit agencies set TAM targets so that proper baseline data can be reported in the National Transit Database by the FTA's January 1, 2018 deadline.

Step #3 - Transit Agencies develop TAM Plans by October 1, 2018.

Steps #1 and #2 need immediate action.

Transit agencies in Humboldt that provide public transit and are required to develop a TAM Plan include the Humboldt Transit Authority, Eureka Transit Service, Arcata and Mad River Transit System, and Klamath-Trinity Non-Emergency Transportation. These transit agencies are considered Tier II providers for TAM plan purposes.

Tier II providers may develop their own TAM Plan or participate in a single Group TAM Plan that is sponsored, developed, and managed locally. For development of a Tier II Group TAM Plan, two or more transit providers would choose a TAM plan sponsor, which is a State, a designated recipient, a direct recipient or subrecipient that develops a group TAM Plan for at least one Tier II provider. The plan sponsor would work collaboratively with each transit agency to develop locally/regionally based useful life benchmarks for each asset class from each transit agency and coordinate the information into a single Group TAM Plan. Individual transit agencies would continue to be responsible for their own grant reporting requirements to Caltrans. A FTA TAM Plan Template "Asset Management Guide for Small Providers" can be located at: <https://cms.fta.dot.gov/TAM/resources/tools>.

Tier II providers may also choose to participate in a group TAM Plan sponsored by Caltrans. This "standardized" approach could promote targets for an asset management replacement schedule that may not be completely relevant to local asset replacement needs.

In discussions with Caltrans Headquarters staff, regional transportation planning agencies (RTPAs) are not authorized to expend rural planning assistance funds to develop TAM plans. HCAOG's role is to assist in coordination. Transit operators are responsible for the development of the plans.

The SCC will review and discuss TAM Plan requirements and a preferred approach. TAM targets must be determined by the January 1, 2018, deadline.